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*Section 15*

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Date: November 28, 2001

By:

*Carol A. See*  
Carol A. See

PATENT  
Docket No. GC626-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Estell et al.

Serial No. 09/932,369

Filed: August 17, 2001

For: Mass Spectrometric Analysis of  
Biopolymers

Group Art Unit: 1645

Examiner: Not Assigned

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TRANSMITTAL LETTER FOR NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional  
Application dated September 21, 2001, enclosed please find:

- [X] a Combined Declaration and Power of Attorney signed by the  
inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R.  
§ 1.16(e);
- [X] Petition for a One-Month Extension of Time;
- [X] Assignment document, Form PTO-1595, and the \$40.00 Assignment  
Recording Fee;
- [ ] Revocation & New Power of Attorney;
- [X] Preliminary Amendment
- [X] Statement of Sameness
- [X] Paper copy of Sequence Listing (4 pages)
- [X] Diskette copy of Sequence Listing

- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☒ Other: Substitute Formal Drawings (6 sheets).
- ☒ Charge \$110.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC626-2). A duplicate of this paper is enclosed.

Respectfully submitted,

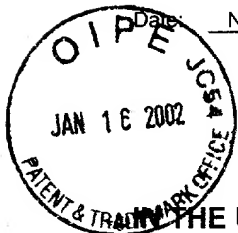
Date: Nov 28, 2001

Victoria L. Boyd  
Victoria L. Boyd  
Registration No. 43,510

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#15

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Date: November 28, 2001

By: Carol A. See  
Carol A. See

**PATENT**  
**Docket No. GC626-2**

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
Estell et al.	)	Group Art Unit: 1645
Serial No. 09/932,369	)	Examiner: Not Assigned
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For: Mass Spectrometric Analysis of	)	
Biopolymers	)	

**SUBMISSION OF FORMAL DRAWINGS**

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Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated September 21, 2001, please substitute the enclosed six (6) sheets of formal drawings for the informal drawings previously filed with respect to the above-identified patent application. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned attorney of same.

Respectfully submitted,

Date: Nov 28, 2001

Victoria L. Boyd  
Victoria L. Boyd  
Registration No. 43,510

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,369	08/17/2001	David A. Estell	GC626-2

CONFIRMATION NO. 4880

FORMALITIES LETTER



\*OC000000006591154\*

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94034-1013

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Date Mailed: 09/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01/22/2002 ANH11 00000012 071048

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